MOS CAPITAL

Privacy Policy

Summary. MOS Capital, Inc. ("MOS") is committed to maintaining the trust and confidence of our clients. We have established this Privacy Policy to help clients understand how we maintain the confidentiality of the personal, private, and non-public information they provide to us, and how we have implemented measures to safeguard this data. To provide you with a high level of service in delivering financial planning and asset management services, MOS must maintain certain personal, private, and non-public information. Our policy is to keep this data confidential - and to strictly guard it, using or disclosing it only as needed to provide our advisory services, or as permitted or required by law. This Privacy Policy applies to both current and former clients.

Information We Collect. The personal information we collect from our clients includes details required to communicate directly with them and consists of data necessary to assist us in effectively addressing their financial needs. We may collect this data in checklists, forms, written notations, or in the documentation provided to us by clients that is used for evaluation, registration, licensing, or related consulting services. We may also obtain personal information directly from client applications or other forms provided to third-parties, such as custodians. Information can include but is not limited to:

- Name, address, & telephone number
- Date of birth
- Banking or custodial information
- Social security number
- Account balance(s) & transactions
- Financial circumstances
- Investment objectives & experience
- Employment details

How We Use Information. All financial companies need to share personal client information to run their everyday business. We may disclose personal, private, and non-public client information to third-parties as permitted by law during regular business activities. Permitted disclosures include, for instance, providing details to our employees ("Associates") and, in limited situations, to unrelated third-parties that require the material to assist us in providing our advisory services to you (i.e., accountants, attorneys, broker-dealers, consulting firms, custodians, or authorized cloud service providers). In all such cases, MOS stresses the confidential nature of the data shared.

How We Safeguard Information. We have procedures in place that we believe are reasonably designed to protect the security and confidentiality of such records, and we maintain physical, electronic, and procedural precautions that comply with professional and industry standards. These may include password and two-factor authentication for access to confidential electronic data files or cloud-based storage sites, encryption of data in transit and other cyber security protocols, associate training, and entering into confidentiality agreements with companies we hire to help us provide our services to clients. With respect to the Telephone Consumer Protection Act (TCPA) and solicitation for services as defined therein, note that MOS has no marketing effort - and by policy will not solicit via electronic channels, including SMS/ text messaging. Additionally, MOS will not request confidential information through electronic channels and we strongly encourage clients to avoid sending private information via email or text message. We reserve the right to change this Policy at any time and will notify clients should this occur.

Opting Out. Federal law provides our clients the right to restrict the sharing of their personal, private, and non-public information by "opting-out" of sharing for marketing or by limiting the sharing of their data with affiliates. MOS has no affiliates and does not sell client personal information. Under our Client Investment Counsel Agreement, clients may specifically opt-out of "electronic delivery," including email, SMS, or online portals. State laws may also provide clients with additional rights to limit sharing. Please contact us to limit sharing or opt out under these circumstances, or should you have any questions regarding this policy or its implementation.

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